

ENVIRONMENTAL ASSESSMENT TOOL TO ANALYZE THE PRESENCE OF CRITICAL AND VALUABLE RAW MATERIALS

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ABSTRACT

The aim of this paper is to show the Software "Sustainable Electronics", developed in the University of Zaragoza as an environmental impact assessment tool, specially developed to design components taking into account the presence of critical and valuable raw materials consumption; simulating environmental impact and measuring the overall raw material consumption. It considers raw material obtaining, manufacturing processes, transports and end of life. This Software allow us to easily update and use the datasets provided by Life Cycle Inventory databases, like for example EcoInvent, developed by the Swiss Center for Life Cycle Inventories. The methodology has been tested through the software in an electronic board of a Touch Control of an induction hob, obtaining that there is a high consumption of materials such as copper, tin or aluminum.

Keywords: life cycle assessment, environmental impact simulation, methodology, critical materials.

1. INTRODUCTION

Companies and the society want to reduce the environmental impact of products and services. The concept of ecodesign began in the 1990s in order to produce more sustainable products.

In order to reducing the environmental impact of a product, methodologies and techniques such as Life Cycle Assessment (LCA) are the key, as they allow researchers to assess and reduce the impacts to the ecological environment. This tool has been used to model a wide range of products: from wind turbines (Martinez et al. 2009) (Martinez et al. 2015), electronic boards (Elduque et al. 2014) or induction hobs (Pina et al. 2015) to compost production (Leiva-Lazaro et al. 2014), food packaging (Fernández et al. 2013) or wine production (Jiménez et al. 2014).

Currently there is a large concern about the materials that affect environmental impact. Due to environmental risk, economic importance and supply risk, materials can be considered as critical (European Commission

2014). The concept of Critical Material emerged firstly in 1939 by the US Administration.

In 2010, the restriction on the exportation of neodymium in China caused a supply chain crisis, as result, prices increased by an order of magnitude (Sprecher et al. 2015)

Although nowadays, the methodology used to determine the criticality of a material is based in the combination of three main indicators (Chapman et al. 2013) (Binnemans et al. 2013) (Graedel & Nuss 2014):

- Economic vulnerability: the end of life recycling has to be taken into account and also the economic benefit that these raw materials have at the sectors in which them arise.
- Supply risk: this value arises from a combination of the stability in the production of the material in a specific country, the substitutability of the material and end-of-life recycling rates of the studied material.
- Ecological risk: this value is estimated taking into account similar criteria than supply risk, raw material country concentration, the ability to be substituted and the recyclability of the material

When calculating the criticality of a material, it is necessary to take into account that an increase in the demand of some materials, in a specific moment, involves a decrease in the demand of others, due to technological change; creating changes in risk indicators of these materials (European Commission 2010) (Achzet & Helbig 2013).

As Peck (Peck et al. 2015) points out, critical materials are "invisible", as they are normally alloyed with other materials. For this reason researchers are using LCA and Life Cycle Sustainability Assessment to systematically compile inventories of the consumption of resources (Mancini et al. 2015) (Sonnemann. et al. 2015). Environmental impact indicators for criticality are still currently being developed, as authors are developing several perspectives (Dewulf et al. 2015) (Glöser et al. 2015) (Rorbech et al. 2014) (Adibi et al. 2014)

The consumption of critical materials have been studied for products such as solar photovoltaics (Goe and

Gaustad 2014), bulbs (Lim et al. 2013) or iron alloys (Nuss et al. 2014).

Several authors have studied ways to reduce the overall consumption of critical materials, focusing specially on recycling (Rademaker et al. 2013) (Dhammika et al. 2014) (Eckelman et al. 2014), recovery (Gutierrez-Gutierrez et al. 2015) (Funari et al. 2014) (Hennebel et al. 2015) and also on reducing the consumption of raw critical materials in new products, such as permanent magnets (Mcguinness et al. 2015).

European Union laws have focused on reducing the environmental impact, by means of ecodesign (EuP 2005/32/CE) (European Parliament, 2005) (ErP 2009/125/CE) (European Parliament, 2009), chemical control and restriction of hazardous substances (REACH 1907/2006) (European Parliament, 2006) (RoHS 2002/95/CE) (European Parliament, 2003).

Applying a suitable ecodesign methodology is very interesting from an environmental point of view in electrical and electronic industry, improving all phases of electrical and electronic life cycle and analyzing the influence of material composition on the environmental impact (Gómez et al. 2015).

The aim of this paper is to show the Software "Sustainable Electronics", developed in the University of Zaragoza as an environmental impact assessment tool, specially designed to simulate environmental impact and to measure the overall raw material consumption, reducing the consumption of critical and valuable materials.

2. "SUSTAINABLE ELECTRONICS" ENVIRONMENTAL ASSESSMENT METHODOLOGY

Nowadays, most LCA models are carried out with professional databases such as EcoInvent one of the most used Life Cycle Inventory databases, developed by Swiss Centre for Life Cycle Inventories. However, these databases provide generic data that is not always adequate for specific products. Our methodology is based on a LCA model, which uses customized datasets to simulate the environmental impact and also quantifies the critical materials consumption.

2.1. General approach

The main goal of the methodology, shown in Figure 1, is to calculate the critical material consumption of an electrical or electronic component, simulating the environmental impact. For this reason, it is necessary to know all material compositions of all the parts in a component or product.

Once analyzed and compared the critical material composition of the components and also, of the products, the user decides the design of the component or the product depending on the life cycle of the component.

This methodology allows the user to compare different designs of the same component depending on the quantity of critical materials in the composition of the component. The user could choose the component with

less critical or valuable raw material and also with less environmental impact.

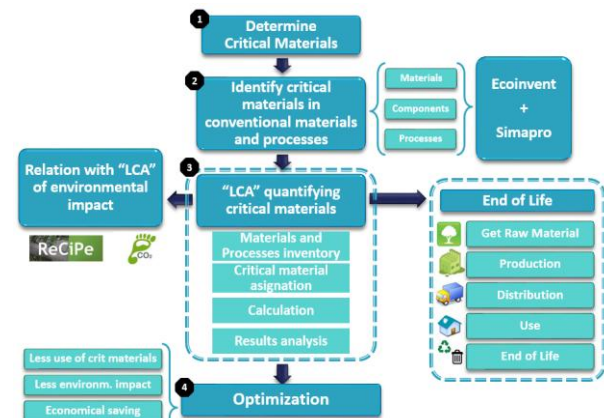


Figure 1: Methodology Diagram

2.1.1. Life Cycle stages

The software carries out environmental impact simulations by means of a LCA model that takes into account all the critical and valuable materials consumed in the life cycle. All the life cycle phases (Figure 2) of the component have to be taken into account, from getting raw materials to the end of life of the component. There will be processes that provide more critical materials consumption than others, affecting also the environmental impact simulation results.



Figure 2: Life Cycle Stages

2.2. Environmental impact

The calculation methodology consist on an improved LCA adapted to critical materials, where the total amount of critical materials is obtained from the life cycle using the critical materials in raw materials of the components, critical and strategic materials in production and in distribution processes. Furthermore, the end of life phase is considered in the calculations of critical materials (Figure 3).

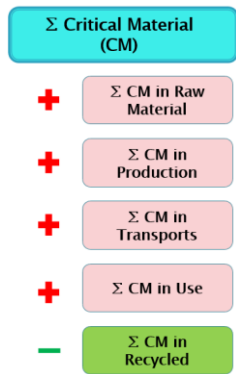


Figure 3: Critical Material Calculation

Also, the methodology considers different end of life scenarios to calculate the amount of critical materials and the environmental impact.

2.3. Results

The methodology can carry out the LCA model calculations and also take into account all the critical and valuable materials consumed in the life cycle. Results should be clear and concise, in order to the user understand them. So that, the results would be:

- List of critical and strategic materials.
- Environmental impact simulation results.
- Summary of critical and strategic materials percentages.

In order to obtain proper results with this methodology, it is necessary to build a customized database structure that helps calculations. This methodology will be applied to the electrical and electronic field, so these are the components that should be considered for the particularization of the methodology in that field: materials, boards, components, connections and processes.

3. “SUSTAINABLE ELECTRONICS” SOFTWARE

This methodology has been implemented by means of a software tool, named “Sustainable Electronics”. This tool has the aim of calculate the critical materials content and simulate the environmental impact of electrical and electronics devices.

The structure of this software is divided in three blocks, which are: New Project/ Load Project, Databases and also Results (Figure 4), where the user could evaluate quantity of critical materials and environmental impact.

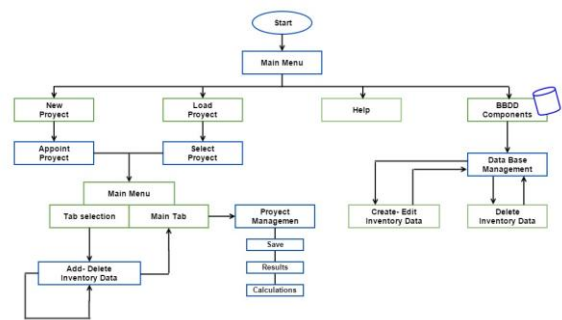


Figure 4: Software Structure

This structure of the software is represented in the main screen of the program (Figure 5).

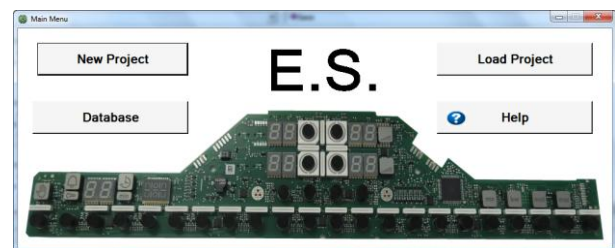


Figure 5: Main Screen of the Software

3.1. Database (LCA model inputs)

Selecting “Database” button from the main screen, the software shows the complete database (Figure 6). Navigating in this screen the user can filter and also manage the database, creating, editing and deleting inventory data.

Nombre	Tipo	Cod_Proj	Piso	Comentarios	E11	E12	E13	E14	E15
Aluminio	Básico	71	1.000000	Sheet, electrolyzed, hot r	0	0	0	0	0
Aluminio	Básico	1	1.000000	Aluminum, wrought al	0	0	0	0	0
Antimonio	Básico	2	1.000000	Antimony (GLC) mark	0	0	0	0	0
Artesa	Básico	70	1.000000	Silica sand (GLC) me	0	0	0	0	0
Arsenic	Básico	42	1.000000	Sodium arsenide (GL	0	0	0	0	0
Bario	Básico	3	1.000000	Barite (GLC) market f	0	0	0	0	0
Berilio	Básico	4	1.000000	Copper (GLC) market f	0	0	0	0	0
Borato	Básico	5	1.000000	Boric oxide (GLC) me	0	0	0	0	0
Caiza y ca	Básico	6	1.000000	Lime, hydrated, packe	0	0	0	0	0
Carbon bla	Básico	66	1.000000	Carbon black (GLC) m	0	0	0	0	0
Cellulosa	Básico	67	1.000000	Kraft paper, unbleach	0	0	0	0	0
Cobalto	Básico	7	1.000000	Cobalt (GLC) market f	0	0	0	0	0
Cobre	Básico	8	1.000000	Copper (GLC) market f	0	0	0	0	0
Cristal	Básico	64	1.000000	Flat glass, uncoated (0	0	0	0	0
Cristal	Básico	47	1.000000	Vacio	0	0	0	0	0
Cromo	Básico	9	1.000000	Chromium (GLC) mark	0	0	0	0	0
Electrodo	Básico	44	1.000000	(null)	0	0	0	0	0
Epoxy resi	Básico	94	1.000000	Epoxy resin, liquid (L	0	0	0	0	0
Estalco	Básico	14	1.000000	Tin (GLC) market for	0	0	0	0	0
Etileno g	Básico	61	1.000000	Ethylene glycol (GLC)	0	0	0	0	0
Fenilacetil	Básico	15	1.000000	Phenylacetone (GLC) m	0	0	0	0	0
Ferita	Básico	41	1.000000	Ferite (GLC) market f	0	0	0	0	0
Fierro lita	Básico	59	1.000000	Phosphorus, white, liq	0	0	0	0	0
Fluorita	Básico	16	1.000000	Phosphor, 99% purity	0	0	0	0	0
Gaio	Básico	17	1.000000	Gallium, semiconductor	0	0	0	0	0
Germanio	Básico	18	1.000000	Gallium, semiconductor	0	0	0	0	0
Cloro-Hidr	Básico	53	1.000000	Case flow (GLC) me	0	0	0	0	0
Grafito	Básico	19	1.000000	Graphite, battery grade	0	0	0	0	0

Figure 6: Software Database

After customizing the database, the software allows to select the required components, in order to create the inventory (Figure 7). For an electronic board, the researcher can select between components, boards, production processes and also connections and other elements thanks to the previous work and research that have been performed in order to create the database.

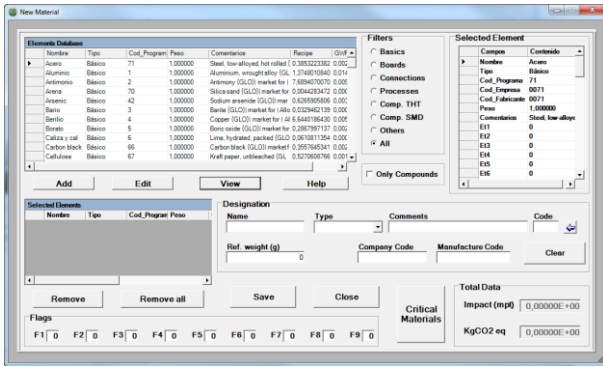


Figure 7: Database Personalization

3.2. Software development

“Sustainable Electronics” software allows researchers and engineers to analyzing different design alternatives in order to reduce the impact to the ecological environment and diminish the use of critical raw materials, showing the overall quantity of critical and valuable raw materials in each component, process, board or connection.

Users can take design decisions taking into account critical materials and according to the environmental impact.

Figure 8 shows “New Project” and “Load Project” options, where there are several tabs such as Project, components, Processes, Boards and Connections and others, the user can navigate through them creating its own project.



Figure 8: Project Screen

All of these screens are prepared for add easily data from the inventory to the project. Once data is added, in Project screen the results could be calculated and viewed by users. In panel “Total data” appears environmental impact and also, pressing “Critical Materials” bottom, critical materials window is shown (Figure 9), where the user can see the quantities of critical and strategic materials.

These screen shows 43 critical and strategic materials, where 22, marked in bold letters, are considered by the latest EU report as critical (Commission 2014).

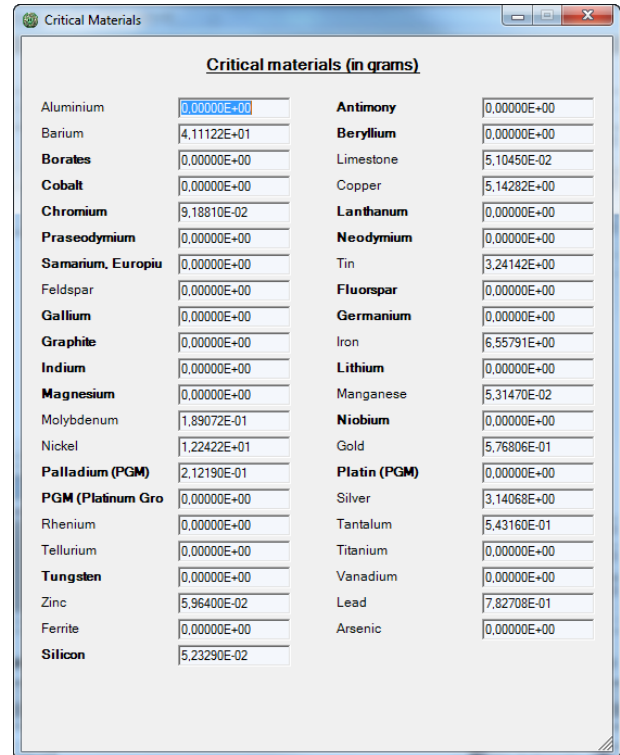


Figure 9: Critical Materials Screen

4. SOFTWARE APPLICATION

The main goal of the software application is to show the methodology and the software, and at the same time, verify the performance of the tool.

4.1. Touch Control

As previously mention, this implementation will be carried out in the field of electronics, specifically the tool is used to calculate critical materials content and simulate the environmental impact in a Touch Control device, shown in Figure 10.

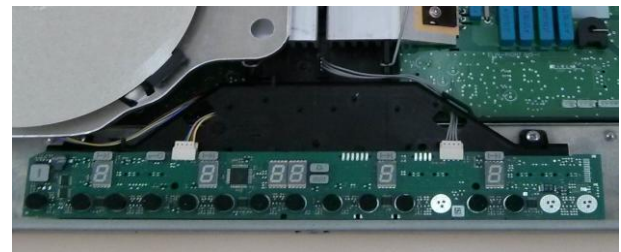


Figure 10: Touch Control

It is an electronic control board, called “Touch Control”, used in induction hobs.

4.1.1. Touch Control Inventory

The inventory for this application consists on data related to electronics, such as capacitors, resistors, diodes, transistors and so on, boards and processes as welding SMD technology.

All of the inventory used in this software application, shown in table 1, has been obtained from the manufacturer of the touch control and desoldering the

electronic components. Also manufacturer’s datasheets of the components have been used in order to consider the exact composition of the components of the studied case, the touch control of an induction hob, following the same methodology shown in (Gómez, et al., 2015).

TOUCH CONTROL INDUCTION HOB			
Name	Material	Units	Weight per unit (g)
Small plastic parts	Nylon 6	7	0,199
Foam cylinders	Polyurethane, flexible foam	13	0,311
Ceramic capacitors SMD	Capacitor, for surface-mounting	52	0,0053
SMD0603 resistor	Resistor, surface-mounted	79	0,0019
Diode BAV 99	Diode, glass-, for surface-mounting	6	0,009
Tantalum capacitor	Capacitor, tantalum	1	0,237
Logical IC	Integrated circuit, logic type	5	0,102
Memory IC	Integrated circuit, memory type	2	0,057
Resonator	Resonator CPM SMD	1	0,013
7-segmentos displays	7 Segment display	6	0,75
LEDs	Light emitting diode	9	0,0015
PCB	Printed wiring board	1	37,995
Welding SMD Technology	Mounting, surface mount technology	1	0,9355

Table 1: Summarized Touch Control Inventory

Once the inventory is completed, elements that are not in the database of the software should be introduced in the tool from “New/Edit Database” option (Figure 11). Weight of each component has to be introduced in the software every time that user wants to introduce a new component in database

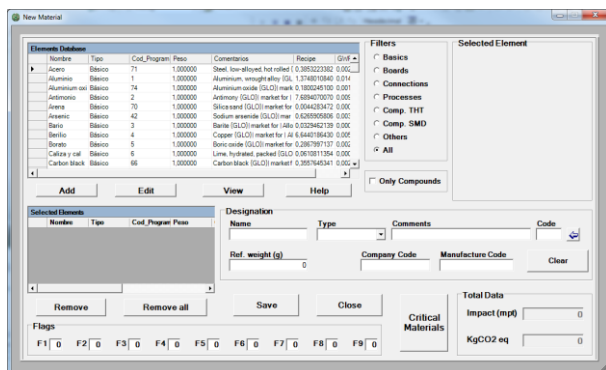


Figure 11: Add or Create Data Screen

After all the inventory of the project has been created, the next step is create the application case. For that, it is necessary to access to “New Project” (Figure 12), where appears the main menu and it is compulsory named the project.

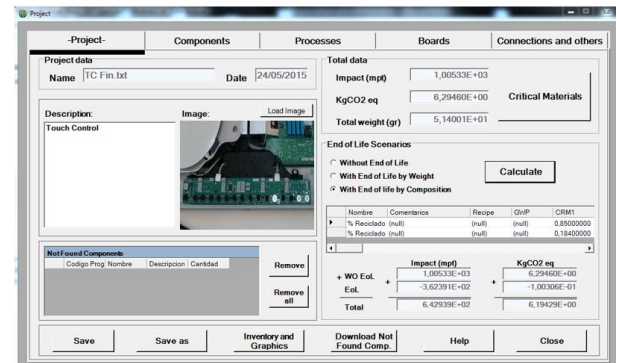


Figure 12: Project Screen

Browsing in tabs Project, Components, Processes, Boards, Connections and Others, data from the inventory should be introduced. Depending on the type of data it will be added in ones or in others (Figure 13).

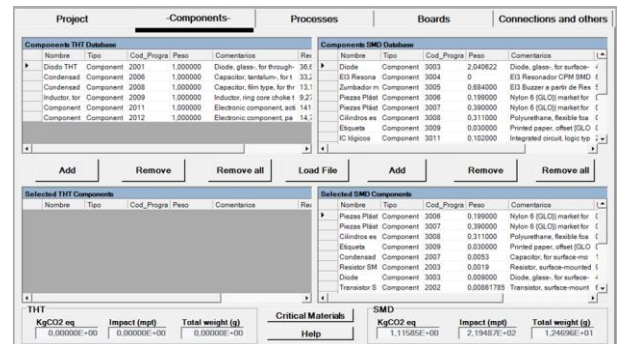


Figure 13: Components Screen

4.1.2. Touch Control Case Results

Afterwards, once the project is completed, “Project” screen gives tool’s options for calculate both critical materials and environmental impact.

“Sustainable Electronics” enables apply several end of life scenarios, such as end of life by composition or by weight. The results simulated by the software show an environmental impact measurement in Recipe of 642.9 mPt and of 6.19 Kg eq. CO₂ in Carbon Footprint.

The PWB creates most of the environmental impact, followed by the SMD components. Although these components have a low overall weight, they generate a significant amount of impact and critical material consumption.

Table 2 shows the consumption of critical and strategic materials in the studied Touch Control. The quantity of copper is the highest, mostly due to its use in the Printing Wiring Board, followed by Tin, used in components soldering, and Aluminium, which is used mainly in the buzzer.

Material	Consumption (g)
Copper	34,93585
Tin	0,981889
Aluminium	0,526671
Barium	0,218226
Tantalum	0,128728
Silver	0,089304
Nickel	0,086837
Iron	0,065517
Lead	0,025029
Manganese	0,012595
Molybdenum	0,01047
Gold	0,009901
Zinc	0,005100
Feldspar	0,004296
Limestone	0,001060
Silicon	0,000934
Palladium (PGM)	0,000666
Chromium	0,000192

Table 2: Overall Material Consumption

Furthermore, there is an option in the software that allows to stand out materials with a high consumption, either global consumption or in percentage. It can be really useful for future regulations, also could be implemented to adapt the software to the legislation.

Table 3 shows critical and strategic material consumption of SMD components. These are essential because, although SMD components have slight weight, the total consumption of critical and strategic material and the environmental impact is really important.

The value of Copper consumption is the highest in SMD components, followed by Aluminium, Barium and in fourth place Tantalum.

Material	Consumption (g)
Copper	1,427462
Aluminium	0,526671
Barium	0,218226
Tantalum	0,128728
Nickel	0,077808
Iron	0,065517
Tin	0,055989
Silver	0,046820
Lead	0,025029
Manganese	0,012595
Molybdenum	0,010472
Gold	0,008097
Zinc	0,005100
Feldspar	0,004296
Limestone	0,001060
Silicon	0,000934
Palladium (PGM)	0,000666
Chromium	0,000192

Table 3: SMD Components Material Consumption

After analyzing the environmental impact and the overall critical and strategic material consumption, several conclusions can be reached. For example, there are several materials with economic importance, such as gold and silver. Gold, with a consumption of 0,0099 grams, was used mostly in integrated circuits and transistors whereas Silver, with 0,0089 grams, was used in soldering processes. On the other hand, there are also materials that present supply risk, such as Palladium and Chromium, which are used in SMD resistors.

5. CONCLUSIONS

The software SE (Sustainable Electronics), developed in Visual Basic .NET, allows the user to quantify the critical and strategic materials associated with the design of a component, also the user can simulate the environmental impact. The user interface makes easy for the user to compare between different components design, making material selection easier.

Although it has been developed for electrical and electronic components, it could be adapted to other sectors easily.

The simulation of the environmental impact of an induction hob delivers an environmental impact result of 642.9 mPt in Recipe and 6.19 Kg eq. CO₂ in Carbon Footprint. Furthermore, the consumption of Copper, Tin and Aluminium supposes a significant amount of environmental impact and material consumption.

This analysis can be used to generate new ecodesign proposals, changing critical components by others with less critical material consumption. For example, the substitution of SMD transistors for components without gold content, such as the ones offered by several electronic components suppliers, would decrease the environmental impact, and also reduce cost and supply risk.

All these results can be achieved thanks to the modeling and simulation of the environmental impact carried out in this study. This approach will allow companies to reduce supply risk, environmental impact and costs.

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